



Patent  
Attorney's Docket No. 001560-396

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )

Kengo AKIMOTO et al )

Application No.: 09/807,541 )

Filed: April 13, 2001 )

For: MICROORGANISMS THAT )  
EXTRACELLULARLY SECRETE )  
LIPIDS AND METHODS OF )  
PRODUCING LIPID AND LIPID )  
VESICLES ENCAPSULATING LIPIDS )  
USING SAID MICROORGANISMS )

Group Art Unit: 1653

Examiner: To be assigned

**RECEIVED**

SEP 19 2001

TECH CENTER 1600/2900

**RECEIVED**

DEC 11 2001

TECH CENTER 1600/2900

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

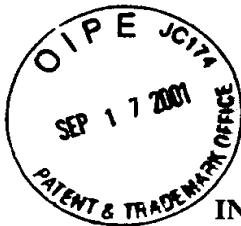
By: 

Donna M. Meuth

Registration No. 36,607

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: September 17, 2001



JC03 Rec'd CT/PTO

17 SEP 2001

Patent #1213-01

Attorney's Docket No. 001560-396

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

Kengo AKIMOTO et al )

Application No.: 09/807,541 )

Filed: April 13, 2001 )

For: MICROORGANISMS THAT )  
EXTRACELLULARLY SECRETE )  
LIPIDS AND METHODS OF )  
PRODUCING LIPID AND LIPID )  
VESICLES ENCAPSULATING LIPIDS )  
USING SAID MICROORGANISMS )

Group Art Unit: 1653

Examiner: To be assigned

RECEIVED

SEP 19 2001

TECH CENTER 1600/2900

RECEIVED  
DEC 11 2001  
TECH CENTER 1600/2900INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER &amp; MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620  
Date: September 17, 2001

By:

Donna M. Meuth

Registration No. 36,607